

DAS PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) |
|--|---------------------------------|
| TAKASHI MORIYAMA ET AL. | : Examiner: Camie S. Thompson) |
| Application No.: 09/996,883 | : Group Art Unit: 1774 |
| Application 110 07/770,005 | : |
| Filed: November 30, 2001 | .) |
| For: ORGANIC ELECTRO- LUMINESCENCE DEVICE |) |
| LOWINGSCENCE DE VICE | ·) |
| U.S. Patent No. 6,908,694 B2 | : |
| Issued: June 21, 2005 | : August 19, 2005 |

Mail Stop PTA Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR RECONSIDERATION OF PATENT TERM ADJUSTMENT UNDER 37 C.F.R. § 1.705(d)

Sir:

days.

Patentees hereby request under 37 C.F.R. § 1.705(d) reconsideration and recalculation of the Patent Term Adjustment for the above-identified patent. Submitted herewith 08/22/2005 SDENBOR2 00000073 09996883 is a check for \$200.00 for the fee set forth in 37 C.F.R. § 1.18(e).01456y1656ficiency in this fee mago. 00 0P be charged, or any overpayment credited, to Deposit Account No. 06-1205.

For the following reasons, this patent is believed to be entitled to a PTA of 118

- 1. The present patent issued from Application No. 09/996,883 (the '883 application) filed on November 30, 2001.
 - 2. The present patent is not subject to any terminal disclaimers.
- 3. Pursuant to 37 C.F.R. § 1.705(b) and (d), reconsideration of the determinations regarding the patent term adjustment (PTA) made for the period prior to the January 5, 2005 mailing date of the Notice of Allowance is not requested.
- 4. The Patent Application Information Retrieval (PAIR) system indicates a U.S. Patent and Trademark Office (PTO) delay period of 78 days for failure to issue a patent within three years of the actual filing date of the '883 application (See attached printout of PAIR page). Patentees do not dispute this determination.
- 5. The PAIR system further indicates Patentees' delay period of six (6) days for a period beginning April 11, 2005 in connection with the Issue Fee payment. Patentees do not dispute this determination.
- 6. Additionally, the PAIR system indicates Patentees' delay period of 117 days for a period beginning February 25, 2005 and ending on June 21, 2005, in connection with the filing of a "Miscellaneous Incoming Letter". Patentees dispute this determination.

<u>ARGUMENTS</u>

1. It is respectfully submitted that the PTO incorrectly treated the paper entitled "STATEMENT OF THE SUBSTANCE OF INTERVIEW" (Statement) filed by Patentees on February 25, 2005 as a "Miscellaneous Incoming Letter", and wrongly deducted 117 days from the PTA.

- 2. The PTO can reduce the amount of the PTA due to a "failure of the applicant to engage in reasonable efforts to conclude processing or examination of an application" under 37 C.F.R. § 1.704(c). Under 37 C.F.R. § 1.704(c)(10), one such circumstance is the "[s]ubmission of an amendment under § 1.312 or other paper after a Notice of Allowance". However, Patentees' February 25, 2005 Statement should not be considered a "failure to engage" within the meaning of 37 C.F.R. § 1.704(c)(10) for the following reasons.
- 3. The February 25, 2005 Statement was filed in response to the Interview Summary dated February 11, 2005, which Patentees had received from the Patent Office in response to the telephone Interview conducted on February 2, 2005.
- 4. The Examiner's Interview Summary specifically <u>required</u> Patentees to submit the Statement of the Substance of the Interview.
- 5. Since Patentees were required by the Examiner's Interview Summary to file the Statement, the Statement cannot be considered a "failure . . . to engage in reasonable efforts to conclude processing or examination of an application" within the meaning of 37 C.F.R. § 1.704(c)(10).
- 6. In view of the above, it is clear that the February 25, 2005 filing of the Statement should not result in any reduction of the PTA.
- 7. Furthermore, even if assuming, *arguendo*, that the February 25, 2005 Statement constitutes a "failure . . . to engage in reasonable efforts to conclude processing or examination of an application" under 37 C.F.R. § 1.704(c)(10), the PTA should have only been reduced by 111 days; not by 117 days as was done by the PTO.
- 8. Specifically, the PTO erroneously "double-counted" the six day period from April 5, 2005 to April 11, 2005 by including this period in the (erroneous) 117 day

reduction associated with the payment of the Issue Fee and the filing of the "Miscellaneous Incoming Letter" (See attached printout of PAIR page).

RELIEF REQUESTED

Reconsideration of the Patent Term Adjustment is respectfully requested. In particular, it is requested that the 117 day reduction associated with the "STATEMENT OF THE SUBSTANCE OF INTERVIEW" filed on February 25, 2005, be changed to 0 days, and the Patent Term Adjustment be recalculated and adjusted to 118 days. In the event this request is denied, the Patent Term Adjustment is requested to be increased at least by six days due to a clearly erroneous "double counting" of a time period from April 5, 2005 to April 11, 2005, as described above.

Patentees' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Frank A. Del ucia

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·Printer Friendly 09/996,883 ORGANIC ELECTROLUMINESCENCE DEVICE

Patent Term Adjustment History

| Patent Term Adjustment (PTA) for Application Number: 09/996,883 | | | | | | | | | |
|---|--|----------------------|------------------------|--|-----------|----------|--|--|--|
| | *** | | | | | Days | | | |
| Fili | ing or 371(c) Date: | 11-30-2001 | US | SPTO Delay (| PTO): | | | | |
| Is | sue Date of Patent: | 06-21-2005 | | Three Years: | | | | | |
| Pre-Issu | ue Petitions (days): | +0 | Applicant Delay(APPL): | | 202 | | | | |
| Post-Issu | ue Petitions (days): | +0 | | Total | PTA: | 1 | | | |
| USPTO | Adjustment(days): | +0 | Explanatio | n Of Calculat | ions | | | | |
| | Patent Term Adjustment History | | | | | | | | |
| Date | Conte | Contents Description | | PTO(Days) | AP (Da | | | | |
| 06-21-2005 | Patent Issue Date Used in PTA Calculation | | | | | | | | |
| 06-01-2005 | PTA 36 Months | | | 78 | | | | | |
| 04-18-2005 | Receipt into Pubs | | | • | | | | | |
| 04-18-2005 | Dispatch to FDC | | | 1 | | | | | |
| | Application Is Con | nsidered Ready | y for Issue | 1 | | | | | |
| 04-11-2005 | Issue Fee Paymen | t Verified | | 1 | | 6 | | | |
| 04-11-2005 | Issue Fee Paymen | t Received | | 1 | | 1 | | | |
| | Receipt into Pubs | | | 1 | | 1 | | | |
| | Miscellaneous Inc | oming Letter | | 1 | | 117 | | | |
| 02-11-2005 | Mail Miscellaneous Communication to | | | 1 | | • | | | |
| 02-10-2005 | Miscellaneous Communication to Applicant | | 1 | | Ŷ | | | | |
| 01-19-2005 | Workflow - File S | ent to Contrac | tor | 1 | | 1 | | | |
| | Mail Notice of Allowance | | 1 | | 1 | | | | |
| | Issue Revision Completed | | 1 | | 1 | | | | |
| 01-03-2005 | Notice of Allowar Completed | | cation | 1 | | ^ | | | |
| | Notice of Allowability | | 1 | | 1 | | | | |
| | 2004 Date Forwarded to Examiner | | 1 | _ | 1 | | | | |
| | 0-18-2004 Response after Non-Final Action | | 1 | | 9 | | | | |
| | -2004 Workflow incoming amendment IFW | | 1 | | 1 | | | | |
| | 4 Mail Non-Final Rejection | | 1 | | 1 | | | | |
| | Non-Final Rejection | ~ | · | 1 | | | | | |
| 05-10-2004 | IFW TSS Processi Complete | | enter | ↑ | | | | | |
| | Date Forwarded to Examiner | | | 1 | | | | | |
| | 4 Information Disclosure Statement (IDS) Filed | | | 1 | | | | | |
| | 2004 Response after Non-Final Action | | | 1 | | 35 | | | |
| | 5-2004 Request for Extension of Time - Granted | | | 1 | | 1 | | | |
| | Workflow incomi | | | 1 | | 1 | | | |
| | Mail Non-Final Re | | | 1 | | 1 | | | |
| | | <u> </u> | | | | | | | |

| 12-19-2003 | Non-Final Rejection | 1 | 1 1 |
|------------|--|-----|-----|
| | Date Forwarded to Examiner | 1 | ì |
| 10-09-2003 | Response after Non-Final Action | 1 | 35 |
| 10-09-2003 | Request for Extension of Time - Granted | 1 | 1 |
| 10-09-2003 | Information Disclosure Statement (IDS) Filed | 1 | 1 |
| 06-04-2003 | Mail Non-Final Rejection | 125 | |
| 05-30-2003 | Non-Final Rejection | 1 | |
| 04-05-2003 | Case Docketed to Examiner in GAU | 1 | |
| 08-25-2002 | Receipt of all Acknowledgement Letters | 1 | |
| 07-31-2002 | Case Docketed to Examiner in GAU | 1 | ` |
| 07-12-2002 | Request for Foreign Priority (Priority Papers May Be Included) | 1 | • |
| 05-13-2002 | Information Disclosure Statement (IDS) Filed | 4 | |
| 03-11-2002 | Information Disclosure Statement (IDS) Filed | 1 | |
| 02-22-2002 | Application Dispatched from OIPE | 1 | |
| 02-21-2002 | Application Is Now Complete | 1 | |
| 02-12-2002 | Additional Application Filing Fees | 1 | |
| 02-12-2002 | A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic | 1 | |
| 02-05-2002 | Referred by L&R for Third-Level Security Review. Agency Referral Letter Generated | 1 | |
| 02-01-2002 | IFW Scan & PACR Auto Security Review | 1 | |
| 12-12-2001 | Notice MailedApplication Incomplete Filing Date Assigned | . t | |
| 12-12-2001 | Correspondence Address Change | 1 | |
| 12-05-2001 | IFW Scan & PACR Auto Security Review | 1 | |
| 11-30-2001 | Initial Exam Team nn | 1 | |

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